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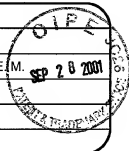
**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

|                      |                       |
|----------------------|-----------------------|
| Application Number   | 09/898,987            |
| Filing Date          | July 3, 2001          |
| First Named Inventor | Verhagen, Marcus E.M. |
| Group Art Unit       | 2641                  |
| Examiner Name        | Unassigned            |

Total Number of Pages in This Submission

Attorney Docket Number 019497-002100

**ENCLOSURES (check all that apply)**☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53☐ Assignment Papers  
(for an Application)☒ Formal Drawing(s) - 33 sheets☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to  
Group☐ Appeal Communication to Board of  
Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)  
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Application Papers

Remarks

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Individual name

Townsend and Townsend and Crew LLP

Patrick M. Boucher

Reg No. 44,037

Signature

*Patrick M. Boucher*

Date

September 24, 2001

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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/898,987         | 07/03/2001          | Marcus E. M. Verhagen | 019497-002100US        |

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CONFIRMATION NO. 2854

## FORMALITIES LETTER



\*OC000000006465243\*

Date Mailed: 08/23/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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M. W.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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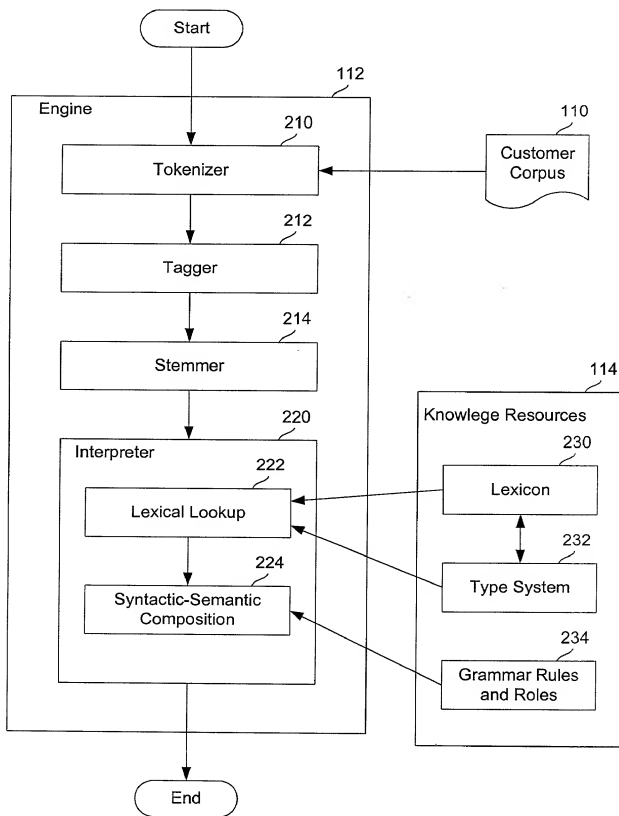


FIG. 1

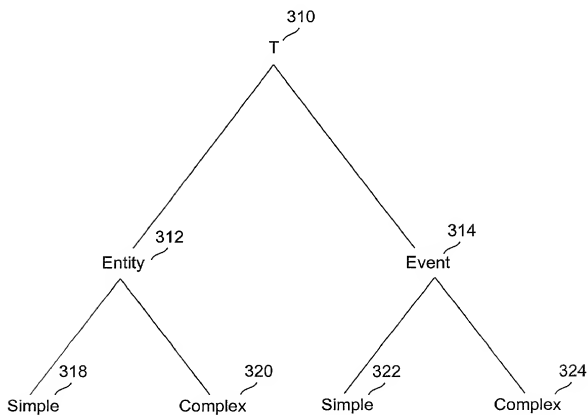
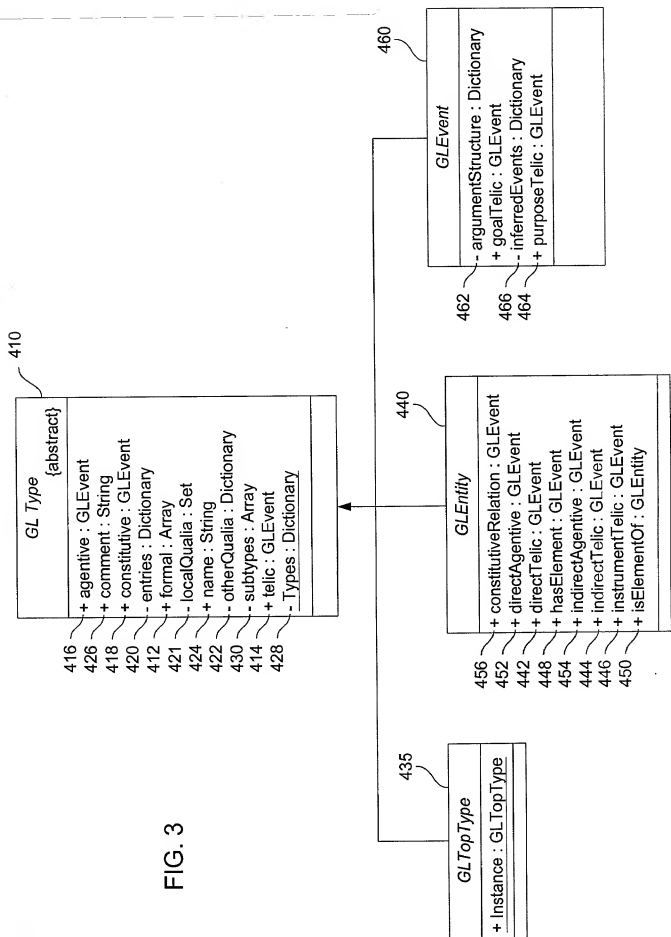


FIG. 2



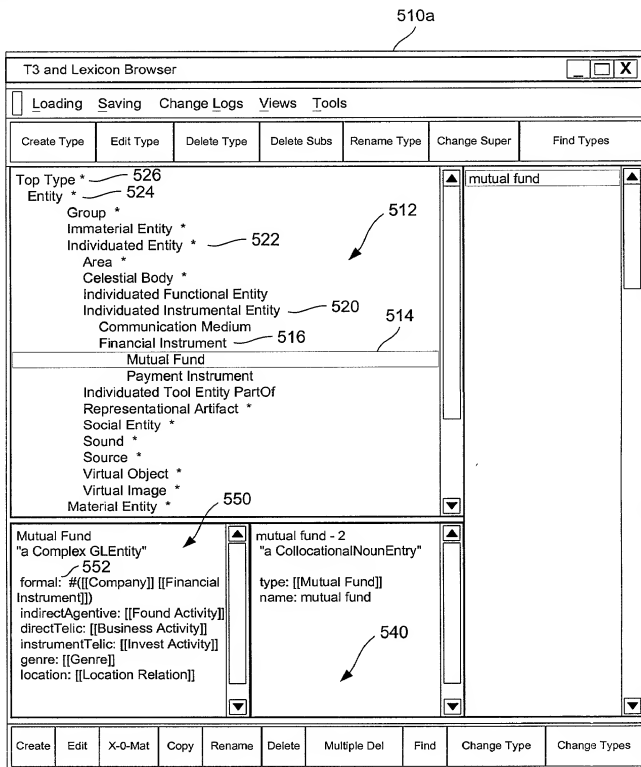


FIG. 4

510b

T3 and Lexicon Browser

Loading Saving Change Logs Views Tools

|             |           |             |             |             |              |            |
|-------------|-----------|-------------|-------------|-------------|--------------|------------|
| Create Type | Edit Type | Delete Type | Delete Subs | Rename Type | Change Super | Find Types |
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Come into Contact Activity  
 Goal Directed Activity  
 Accommodation Activity \*  
 Assessment Activity \*  
 Assistance Activity \*  
 Attempt Activity \*  
 Business Activity \*  
 Audit Activity \*  
 Invest Activity \*  
 Choose Activity \*  
 Classify Activity \*  
 CoAgentive Activity \*  
 Collect Activity \*  
 Commit Activity \*  
 Communication Process \*  
 Encourage Activity \*  
 Entertainment Activity \*  
 Espionage Activity \*  
 Function Activity \*  
 Hospitality Activity \*  
 Imitation Activity \*  
 Investigate Activity \*  
 Lead Activity \*

invest

Invest Activity  
 "a Simple GLEvent"  
 formal: #([[Business Activity]])  
 argumentStructure:  
 externalArgument: [[Legal Entity]]  
 theme: [[TopType]]

Invest - 3  
 "a VerbEntry"  
 type: [[Invest Activity]]  
 subjectRole: externalArgument  
 ppRole1: theme  
 ppHead1: in

Create Edit X-0-Mat Copy Rename Delete Multiple Del Find Change Type Change Types

Start Works... Virtual... TEEP... Lexem... Explor... Eudor... TS en... patent... Parse... TS an... TS an...

FIG. 5

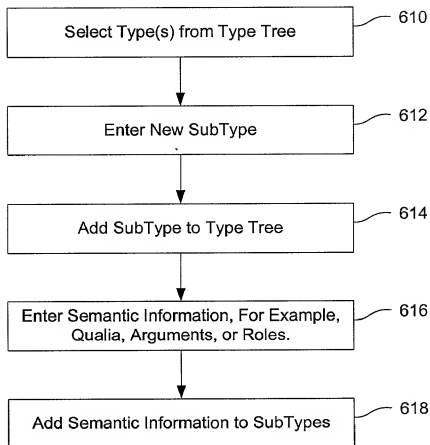


FIG. 6A



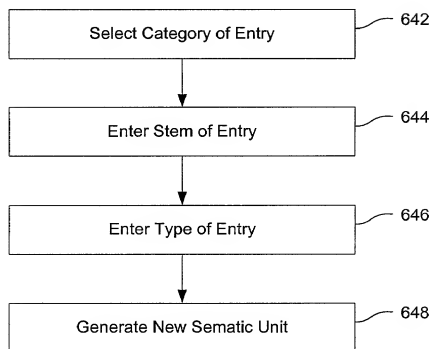


FIG. 6B

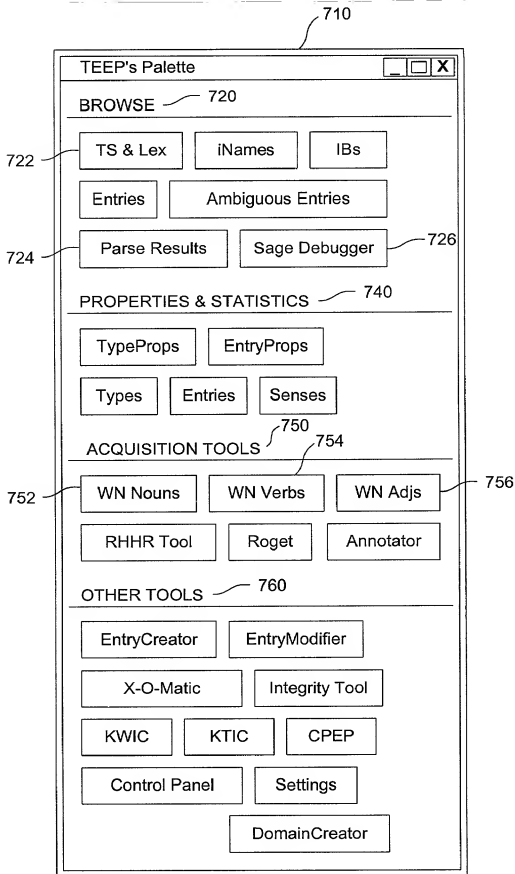


FIG. 7

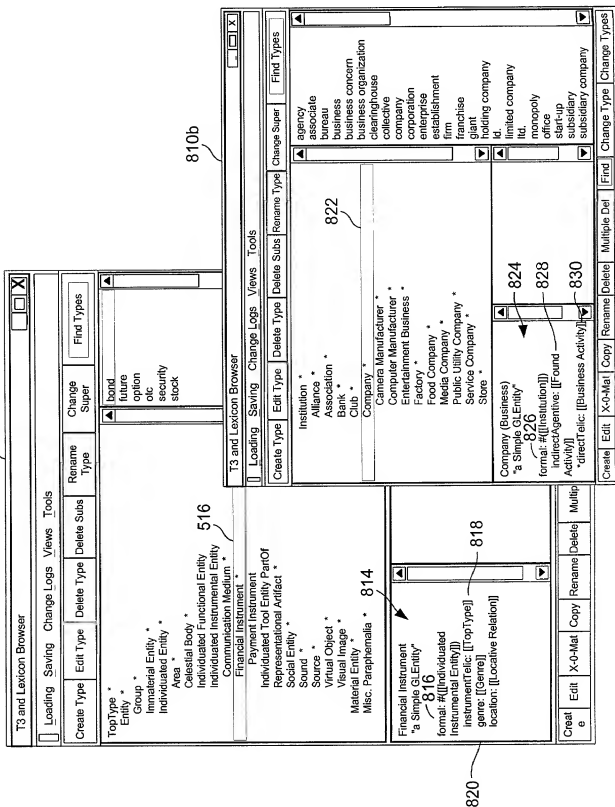


FIG. 8

910

TypeCreator

Select Subclass and Domain

912 Complex ▼

914 GLEntity ▼

name

916 Mutual Fund

priority supertype

918 Company

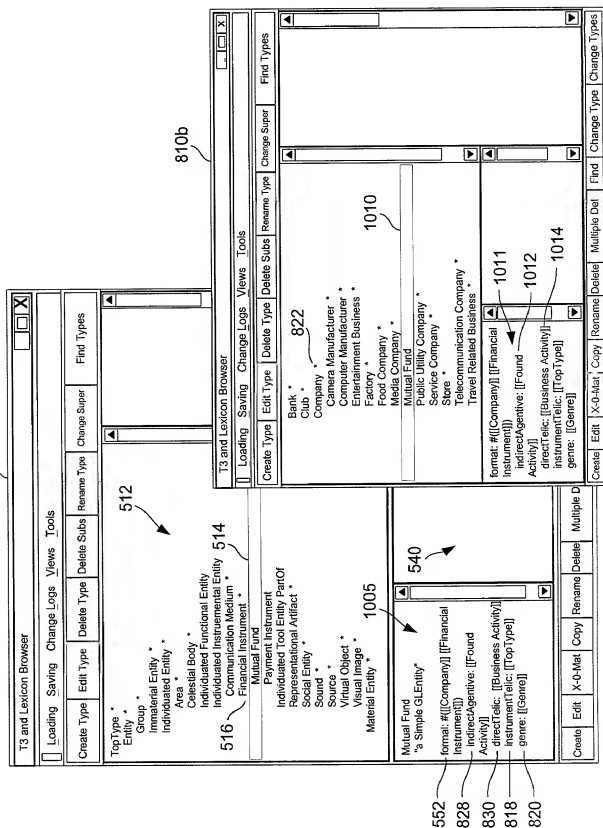
default supertype

920 Financial Instrument

Create Cancel

FIG. 9

FIG. 10



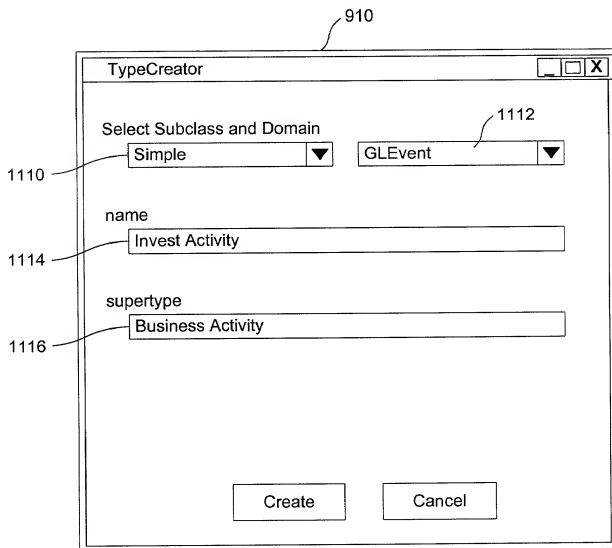
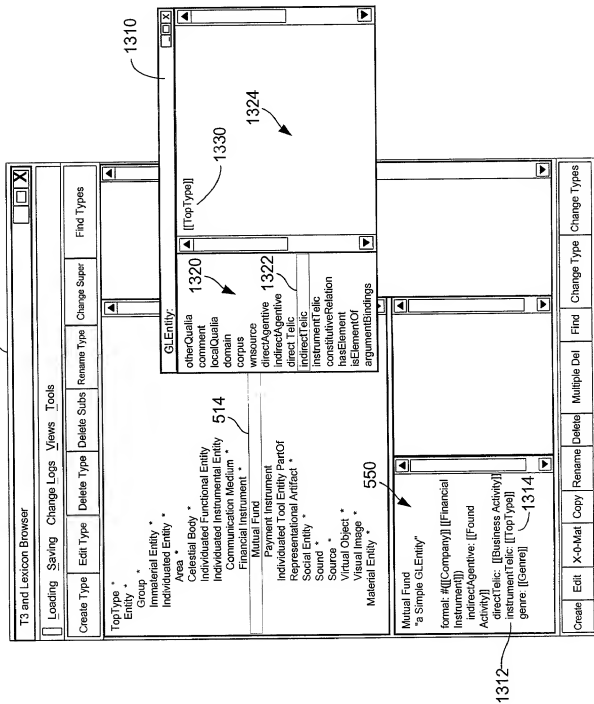


FIG. 11



FIG. 13





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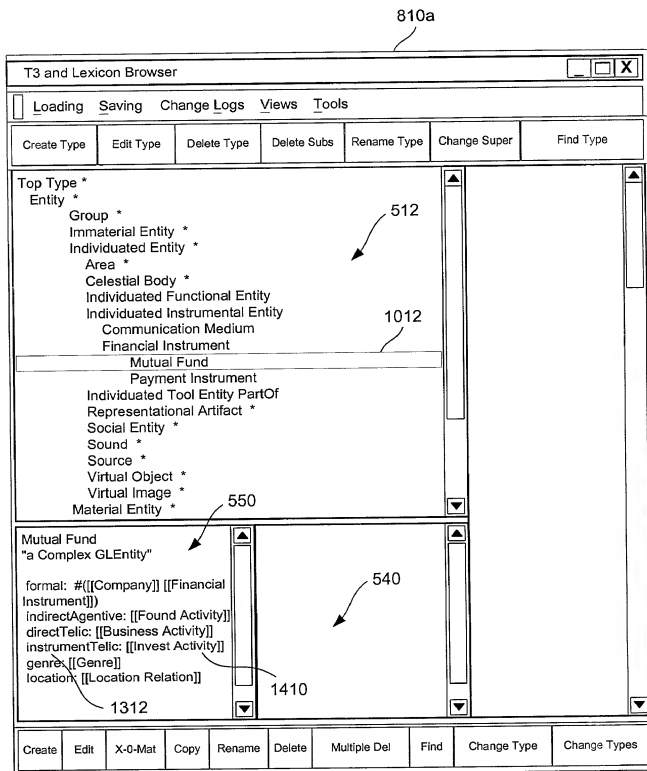


FIG. 14

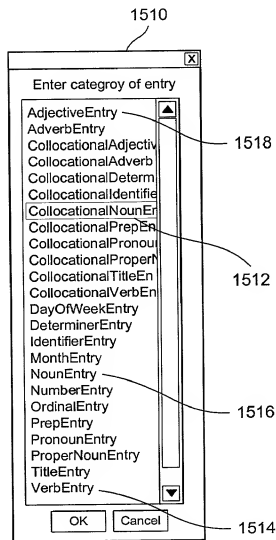


FIG. 15

810a

**T3 and Lexicon Browser** [ ] [ ] [X]

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|-------------|-----------|-------------|-------------|-------------|--------------|-----------|
| Create Type | Edit Type | Delete Type | Delete Subs | Rename Type | Change Super | Find Type |
|-------------|-----------|-------------|-------------|-------------|--------------|-----------|

**Top Type \***

**Entity \***

- Group \*
- Immaterial Entity \*
- Individuated Entity \*
- Area \*
- Celestial Body \*
- Individuated Functional Entity
- Individuated Instrumental Entity
- Communication Medium
- Financial Instrument
- Mutual Fund
- Payment Instrument
- Individuated Tool Entity PartOf
- Representational Artifact \*
- Social Entity \*
- Sound \*
- Source \*
- Virtual Object \*
- Virtual Image \*
- Material Entity \*

**Mutual Fund**

"a Complex GLEntity"

format: #([([Company]) ([Financial Instrument])])

Enter stem of entry

mutual fund

OK Cancel

FIG. 16

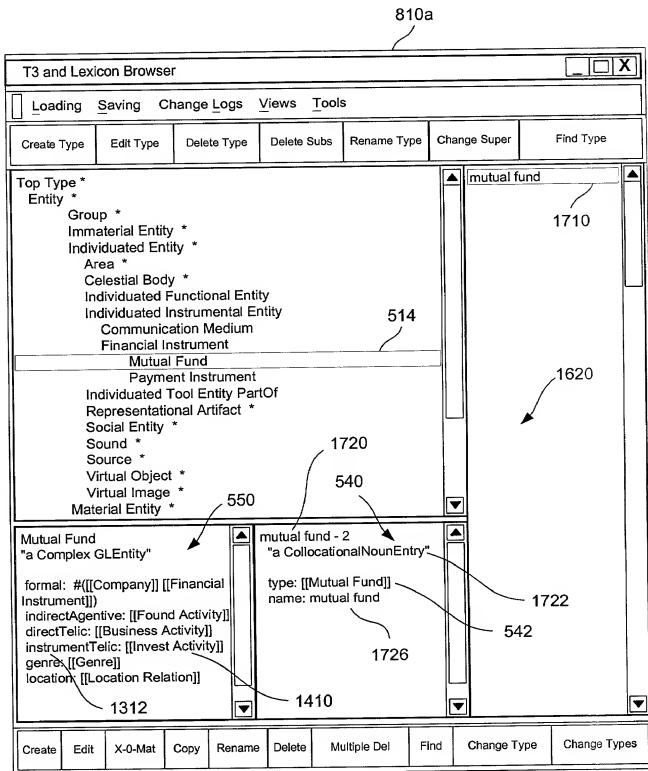


FIG. 17

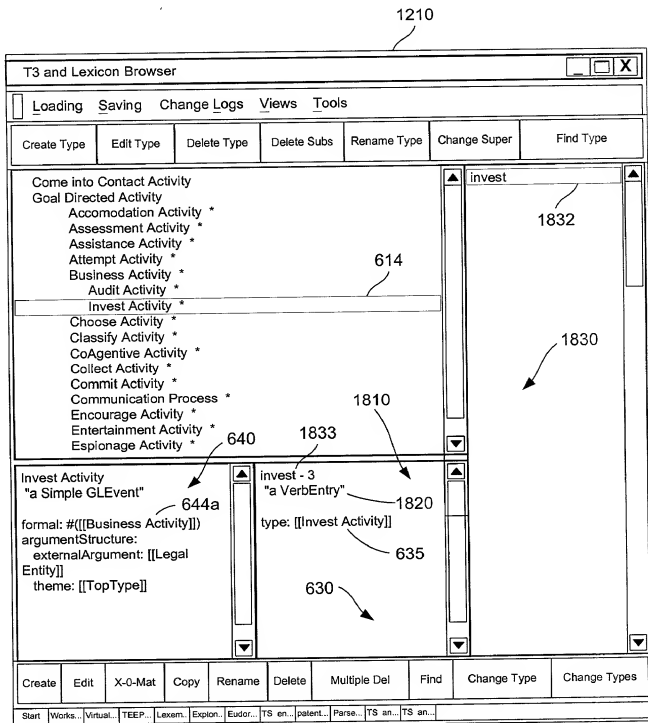


FIG. 18

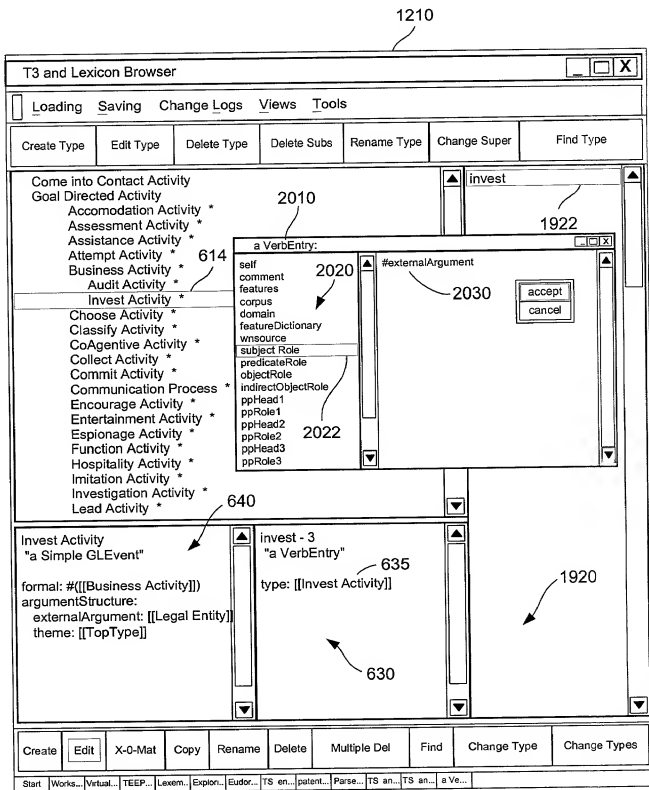


FIG. 19

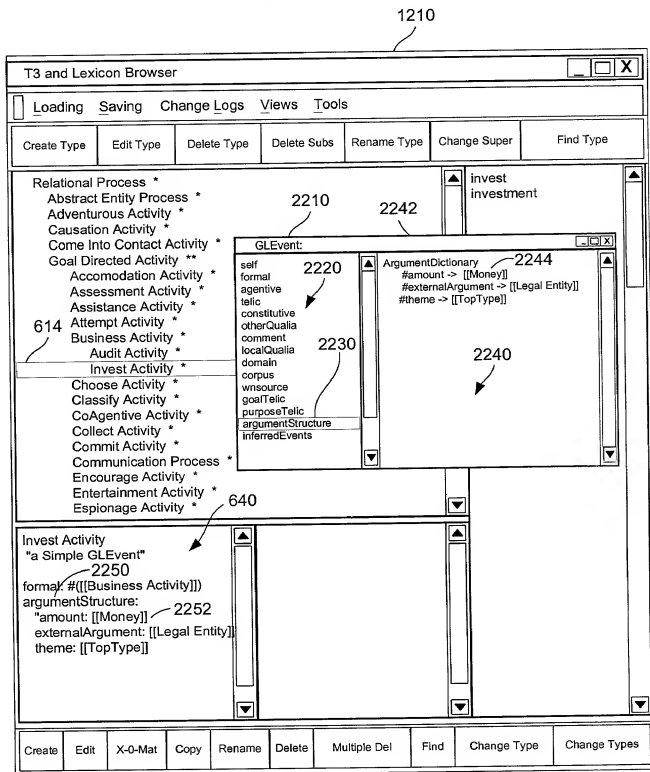


FIG. 20

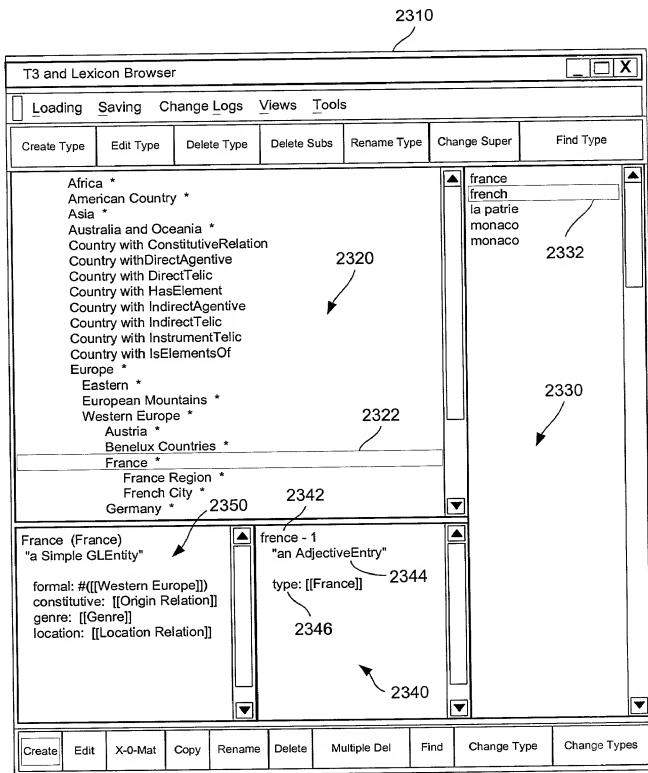


FIG. 21



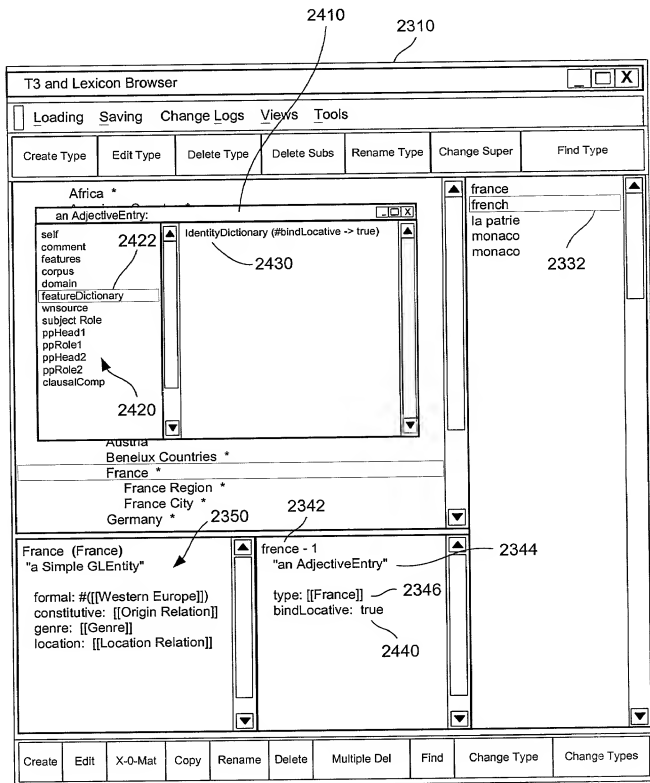


FIG. 22

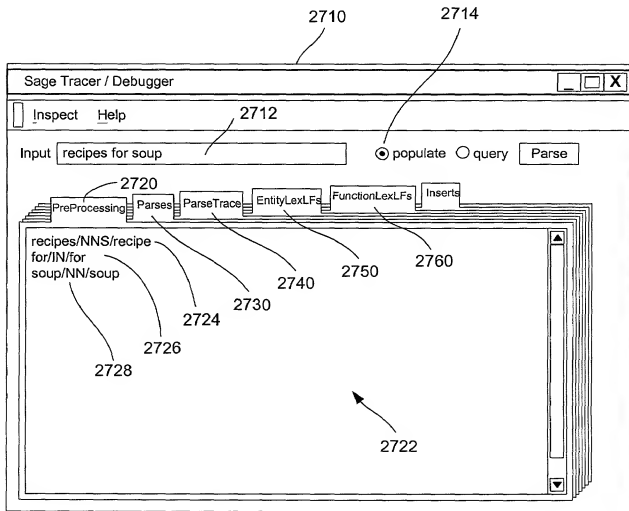


FIG. 23

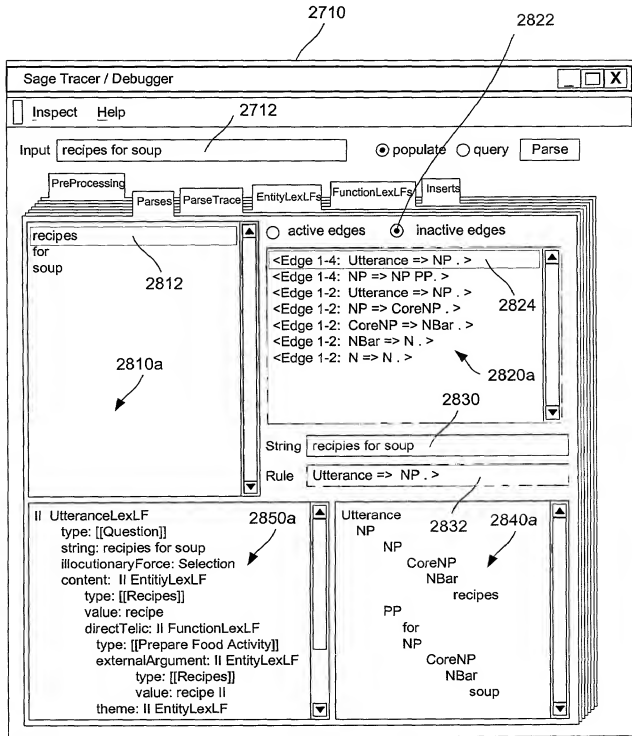


FIG. 24

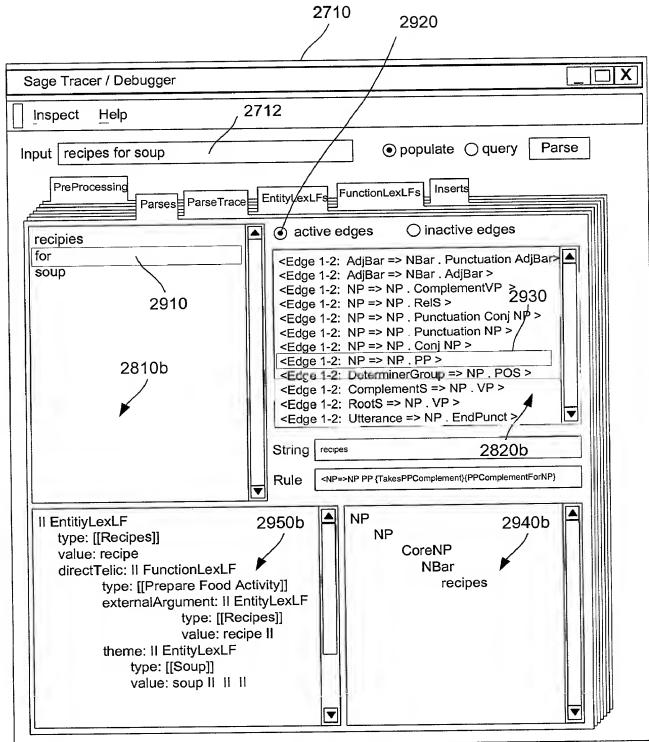


FIG. 25

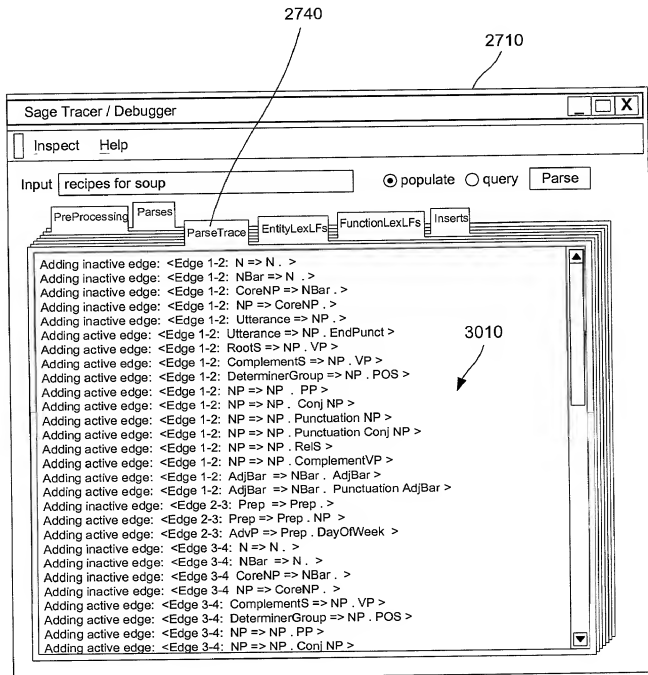


FIG. 26A

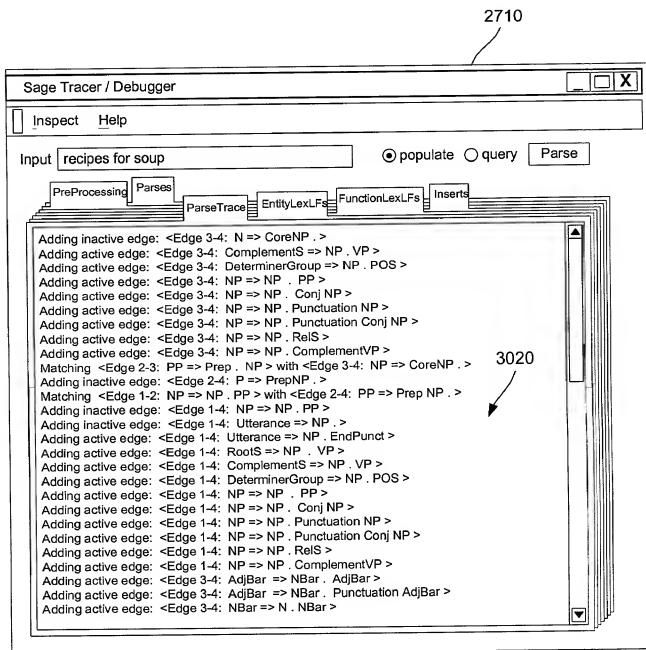


FIG. 26B

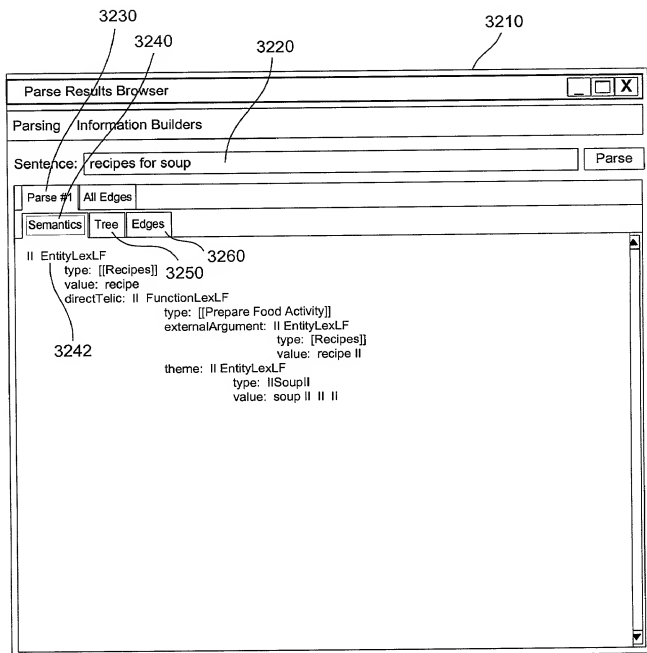


FIG. 27

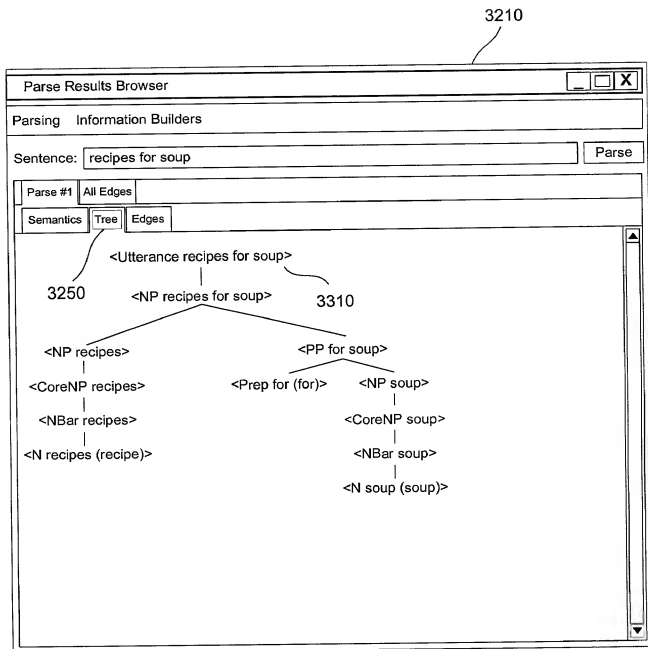


FIG. 28



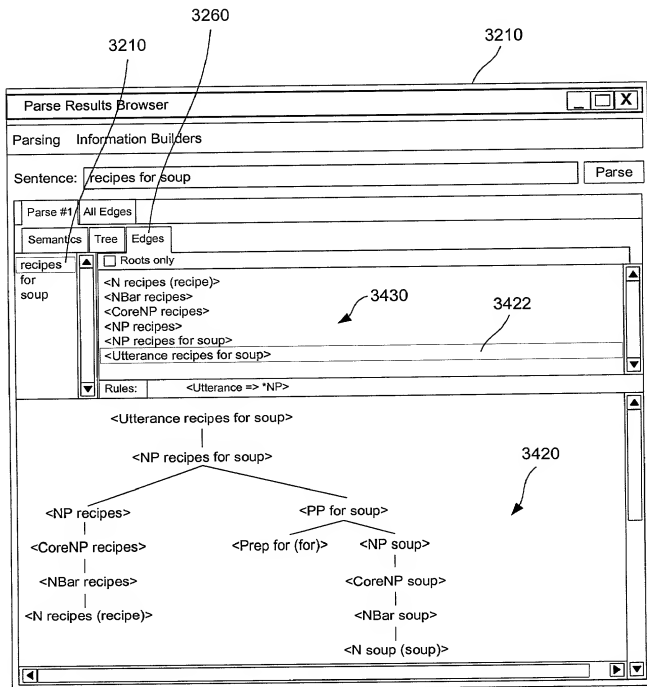


FIG. 29

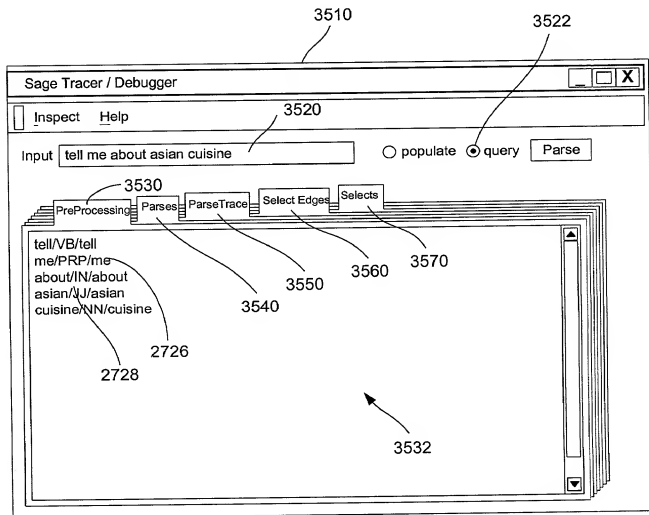


FIG. 30

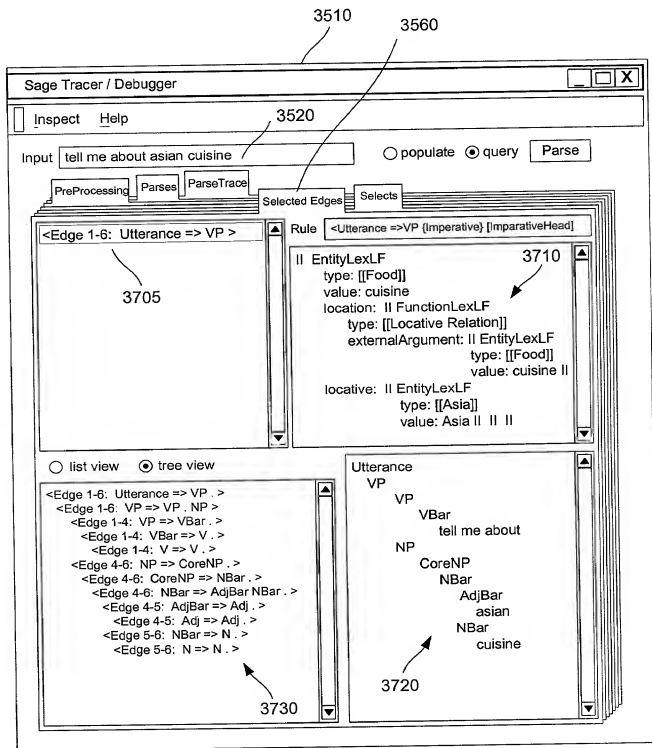


FIG. 31